

Texas State Board of Public Accountancy



Annual Report of Nonfinancial Data

For the Year Ended August 31, 2017



TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY
WILLIAM TREACY, EXECUTIVE DIRECTOR

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Austin, Texas 78701-3900

512-305-7800
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December 22, 2017

Honorable Greg Abbott, Governor
Honorable Dan Patrick, Lieutenant Governor
Honorable Joe Straus, Speaker of the House
Lisa R. Collier, CPA, CFE, CIDA, First Assistant State Auditor
Ursula M. Parks, Director, Legislative Budget Board

Ladies and Gentlemen:

We are pleased to submit the *Annual Report of Nonfinancial Data* of the Texas State Board of Public Accountancy for the year ended August 31, 2017, in compliance with the TEX. GOV'T CODE ANN. §2101.0115 and in accordance with the instructions for completing the *Annual Report of Nonfinancial Data*.

The accompanying report has not been audited and is considered to be independent of the agency's *Annual Financial Report*.

If you have any questions, please contact Alan Hermanson, CPA, at (512) 305-7814.

Sincerely,

TEXAS STATE BOARD OF
PUBLIC ACCOUNTANCY

A handwritten signature in blue ink that reads "William Treacy".

WILLIAM TREACY
Executive Director

Enclosure

xc: Legislative Reference Library
Texas State Library

Administration/
Accounting
(512) 305-7800

General
Information
(512) 305-7870

CPE
(512) 305-7844

Enforcement
(512) 305-7866

Licensing
(512) 305-7853

Qualifications
(512) 305-7851

Peer Review
(512) 305-7853

Fax
(512) 305-7875
(512) 305-7854

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY

Austin, Texas

ANNUAL REPORT OF NONFINANCIAL DATA

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TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY (457)
LIST OF BONDED EMPLOYEES

During the year, the Board had in force a surety bond covering all employees through the Garrett Abney Company in the amount of \$300,000. The following employees were covered as of August 31, 2017.

EMPLOYED AS OF AUGUST 31, 2017

Bryce Beasley	Program Specialist I
Paulette Beiter	Attorney IV
Sandra Bradshaw	Program Specialist I
Josephine Briones	Administrative Assistant III
Roel Cantu	Program Supervisor III
Ismael Castillo, Jr.	Executive Assistant II
Delia Castro	Program Specialist I
Jennifer Costilla	Program Specialist I
Patrick Doré	Administrative Assistant III
Tiffney Duncan	Program Specialist II
Rhonda Fellner	Administrative Assistant III
Jackie Frazier	Manager III
Gilbert Gutierrez	Program Supervisor IV
Alan Hermanson	Dir. of Administrative Services/CFO
Jerry Hill	General Counsel IV
Donna Hiller	Director II
Jean Ickes	Program Specialist III
Cody Kleiber	Accountant II
Betty Lackey	Administrative Assistant II
Maria Lagunas	Staff Services Officer IV
Theodore Leal	Legal Assistant I
Kyle McGaw	Director I
Natalie Miller	Program Specialist I
John Moore	Attorney V
Aisha Ontiveros	Accountant III
Kenny Pettitte	Program Specialist I
Julie Prien	Information Specialist III
Marisa Rios	Legal Assistant II
Janet Risovi	Program Specialist I
Anthony Sanchez	Program Specialist I
April Serrano	Program Supervisor IV
Margie Sheehan	Administrative Assistant III
Andrea Smith	Program Specialist I
Jon Smith	Systems Analyst V
Tina Smith	Program Specialist II
William Treacy	Executive Director
Cherylyn Turner	Program Specialist I
Daniel Weaver	Director I
Margaret Williams	Systems Analyst V

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY

SCHEDULE OF SPACE OCCUPIED

For the Fiscal Year Ended August 31, 2017

Location	Address	Lessor	Lease No.	Type	Usable Square Feet	FTEs	Rental Per Month		Actual Annual Cost	Comments
							Cost per Sq. Ft.	Cost per Month		
State-Owned Buildings:										
Austin ^A	William P. Hobby Jr. Building				10,672	39	\$ 1.50	\$16,008.00	\$ 192,096.00	See comment A below
					10,672	39	\$ 1.50	\$16,008.00	\$ 192,096.00	
Free Space:										
					0					
					0					
Leased or Rented Space:										
Austin ^B	4400 Shoal Creek Blvd.	Texas State Library and Archives Commission		Storage					\$ 8,645.78	See comment B below
Swearing-in Ceremony^C										
Austin	900 Barton Springs Rd.	Austin Convention Center		Palmer Events Center	0	N/A	\$ -	\$ -	\$ 7,462.00	See comment C below
					0	N/A	\$ -	\$ -	\$ 16,107.78	

Section 2165.104, Government Code:

The Board is a Self-Directed Semi-Independent Agency pursuant to Texas Government Code, Chapter 472.

Actual Annual Cost:	
Rent accrued as of August 31, 2017	\$ 25,324.00
Rent portion of Statewide Cost Allocation Plan (SWCAP) paid to the Comptroller of Public Accounts	166,772.00
Total Rent Expenditure - FY 17	\$ 192,096.00

^B Storage of records is measured in quantity of records, not usable square feet or by FTEs. Cost per square feet and cost per month vary by type of record.

^C The Texas State Board of Public Accountancy administered the Swearing-in Ceremony two times in 2017. The usable square feet, FTEs, cost per square feet and cost per month are not measurable due to space changing at each site depending on the number of exam candidates/licensees in attendance.

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY

SCHEDULE OF PROFESSIONAL, CONSULTING, AND LEGAL SERVICE FEES
For the Year Ended August 31, 2017

<u>Name</u>	<u>Type of Service Rendered</u>	<u>Amount</u>
Professional and Consulting Fees:		
Fund 1009		
ALVA J. WINSTON, CPA	SPONSOR REVIEW PROGRAM-REVIEW	\$ 3,687.50
CHARLES S. WRIGHT, CPA	SPONSOR REVIEW PROGRAM-REVIEW	4,312.50
CONNIE Z. KELLY, CPA	SPONSOR REVIEW PROGRAM-REVIEW	3,593.75
DARLENE PULLIAM, CPA	SPONSOR REVIEW PROGRAM-REVIEW	2,156.25
DAVID CRUMBAUGH, CPA	SPONSOR REVIEW PROGRAM-REVIEW	3,968.75
HELEN C. DIAZ, CPA	SPONSOR REVIEW PROGRAM-REVIEW	1,843.75 ^A
JANET ANN SMITH, CPA	SPONSOR REVIEW PROGRAM-REVIEW	3,500.00
JENNIFER P. FADAL, CPA	SPONSOR REVIEW PROGRAM-REVIEW	875.00
JOHN E. JONES, CPA	SPONSOR REVIEW PROGRAM-REVIEW	4,312.50
KATHY M. ABSHIRE, CPA	SPONSOR REVIEW PROGRAM-REVIEW	1,250.00
MANNY CAVAZOS, J.D., CPA	SPONSOR REVIEW PROGRAM-REVIEW	3,375.00
MARK A. TURNER, CPA	SPONSOR REVIEW PROGRAM-REVIEW	2,281.25
MICHAEL D. BURNETT, CPA	SPONSOR REVIEW PROGRAM-REVIEW	3,781.25
MICHAEL G. MCMENNAMY, CPA	SPONSOR REVIEW PROGRAM-REVIEW	2,906.25
MITCHELL SUTHERLIN, PLLC	SPONSOR REVIEW PROGRAM-REVIEW	5,812.50
NEAL R. VAN ZANTE, CPA	SPONSOR REVIEW PROGRAM-REVIEW	1,968.75
PAMELA EVANS, CPA	SPONSOR REVIEW PROGRAM-REVIEW	1,125.00
RAY G. NEWMAN, Ph.D., CPA	SPONSOR REVIEW PROGRAM-REVIEW	250.00
RICHARD BALAND, CPA	SPONSOR REVIEW PROGRAM-REVIEW	3,562.50
RONALD O. HUNTSMAN, CPA	SPONSOR REVIEW PROGRAM-REVIEW	8,376.36
TIMOTHY PREBE, CPA	SPONSOR REVIEW PROGRAM-REVIEW	3,468.75
TIMOTHY R. MADRIGAL, CPA	SPONSOR REVIEW PROGRAM-REVIEW	4,187.50
Total Professional and Consulting Fees, page 3		<u>\$ 70,595.11</u>

^A Reissued payment. Original payment issued in AY 14.

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY

SCHEDULE OF PROFESSIONAL, CONSULTING, AND LEGAL SERVICE FEES
For the Year Ended August 31, 2017

<u>Name</u>	<u>Type of Service Rendered</u>	<u>Amount</u>
Professional and Consulting Fees:		
Fund 1009		
ALLIANCE WORK PARTNERS	WORKERS ASSISTANCE PROGRAM	\$ 987.13
BELÉN BRIONES	BEHAVIORAL ENFORCEMENT SERVICES	45,707.91
DAVIS KINARD & CO, PC	TECHNICAL STANDARDS REVIEW SERVICES & BEHAVIORAL ENFORCEMENT SERVICES	61,463.61
HARPER & PEARSON CO., P.C.	TECHNICAL STANDARDS REVIEW SERVICES	181,858.30
HILL RESEARCH CONSULTANTS	CONSULTANT - SURVEY	19,541.00
MONDAY N. RUFUS, CPA	INTERNAL AUDIT	4,794.55
RENAISSANCE SYSTEMS, INC.	CONSULTANT - COMPUTER	80,271.25
STATE AUDITOR'S OFFICE	AUDIT	25,000.00
T. WAYNE OWENS	TECHNICAL STANDARDS REVIEW SERVICES	2,520.00
TEAGUE, MARQUESS & ASSOCIATES, P.C.	PEER REVIEW CONSULTANT	16,469.35
THOMAS & THOMAS, LLP	PEER REVIEW CONSULTANT	12,435.83
WATERS, VOLLMERING & ASSOCIATES, LLP	PEER REVIEW CONSULTANT	13,383.85
Total Professional and Consulting Fees, page 4		\$ 464,432.78
Legal Service Fees:		
Fund 1009		
ALLEN, PINNIX & NICHOLS, P.A.	LEGAL SERVICES	\$ 2,340.00
DUBOIS BRYANT & CAMPBELL, LLP	BEHAVIORAL ENFORCEMENT SERVICES	19,243.50
JOHN MOORE	TECHNICAL STANDARDS REVIEW SERVICES	16,012.50
LAW OFFICE OF MARY ALICE MCKAUGHAN	LEGAL SERVICES	363,066.26
OFFICE OF THE ATTORNEY GENERAL	LEGAL SERVICES	9,999.51
STATE OFFICE OF ADMINISTRATIVE HEARINGS	LEGAL SERVICES	25,932.00
STRASBURGER & PRICE, LLP	BEHAVIORAL ENFORCEMENT SERVICES	250.00
VIRGINIA CAROL MOHER	TECHNICAL STANDARDS REVIEW SERVICES	50,881.25
Total Legal Service Fees, page 4		\$ 487,725.02
GRAND TOTAL PROFESSIONAL, CONSULTING, AND LEGAL SERVICE FEES		\$ 1,022,752.91

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY

HUB STRATEGIC PLAN PROGRESS REPORT
For the Fiscal Year Ended August 31, 2017

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

Goal = Strategic Plan HUB Goal	Actual for FY 16 Reported by Comptroller	Actual for FY 17 Reported by Comptroller	Goal for FY 2018
Actual = % Spent with HUBs from HUB Report			
Heavy construction other than building contracts	N/A	N/A	N/A
Building construction, including general contractors and operative builders contracts	N/A	N/A	N/A
Special trade construction contracts	N/A	N/A	N/A
Professional services contracts	100.00% a	100.00% b	10.00%
Other services contracts	32.99%	50.15%	10.00%
Commodities contracts	46.56%	32.26%	10.00%

a Total FY 16 expenditures = \$10,642

b Total FY 17 expenditures = \$24,410

Explanation for Actual Amounts:

The total agency expenditures with HUBs was 50.32% in FY 17. The statewide average was 11.97%.

Prepared by:




Printed Name: Alan L. Hermanson, CPA
Director of Administrative Services/CFO

William Treacy
Executive Director

Phone Number: (512) 305-7814

(512) 305-7800

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY

SCHEDULE OF APPROPRIATION ITEM TRANSFERS
For the Fiscal Year Ended August 31, 2017

This schedule is not applicable as the Texas State Board of Public Accountancy does not receive appropriations.

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY

SCHEDULE OF INDIRECT COSTS
For the Fiscal Year Ended August 31, 2017

The Office of the Governor has delayed the submission of the Indirect Cost Schedule until January 31, 2018, pending receipt of the FY 17 Statewide Cost Allocation Plan.

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY**SCHEDULE OF STATE-OWNED VEHICLES
USED BY STATE EMPLOYEES – FY 2017**

This schedule applies to the use of the Texas State Board of Public Accountancy's 1999 Dodge Caravan. The total mileage used in FY 2017 was 759 miles.

NAME	JOB TITLE	REASON FOR AUTHORIZATION
Patrick Doré	Administrative Assistant III	Performance of job duties, which include making daily deposits, picking up printing, supplies, materials and equipment, and other duties as assigned.
Ismael Castillo, Jr.	Executive Assistant II	Performance of job duties, which include delivering materials and staff to TSBPA swearing-in ceremonies.
Maria D. Lagunas	Staff Services Officer III	Performance of job duties as back up to deliver daily deposits.
Alan Hermanson	Dir. of Admin. Serv./CFO	Performance of job duties as back up to deliver daily deposits.
William Treacy	Executive Director	Performance of state business (no miles driven in FY 2017).

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY

**SCHEDULE OF RECYCLED, REMANUFACTURED, AND
ENVIRONMENTALLY SENSITIVE PURCHASES**
For the Fiscal Year Ended August 31, 2017

This schedule was repealed due to SB 59, 83rd Legislature, R.S., effective September 1, 2013.