TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY

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PEER REVIEW COMPLIANCE REPORTING FORM

In accordance with **Board *Rule 527.6, Reporting to the Board,*** the Texas State Board of Public Accountancy requires information on the most recent peer review of your firm. Complete this form as indicated, sign the form, attach the required documents, and mail to the address listed above.

Firm Control Number: _____ Firm License ID: _____

Firm Name: _____ Phone: _____
Area Code & Number

Address: _____ Contact Person:

Change of address? ☐ _____ _____

ASSIGNED REVIEW/INSPECTION DATE: _____ OFFICE USE ONLY _____

PROVIDE INFORMATION FROM THE PEER REVIEW REPORT

Report Date: _____

Review was for year ended: _____

- Engagement review (off-site) ☐ 1
- System review (on-site) ☐ 2
- PCAOB Inspection ☐ 4

Result of Engagement Review or System Review:

- Fail ☐ 8
- Pass with Deficiences ☐ 9
- Pass ☐ 10

PROVIDE INFORMATION FROM FINAL LETTER OF ACCEPTANCE (FLOA)

SPONSOR:

- AICPA Peer Review Program administered by NPRC ☐ 9
- AICPA Peer Review Program administered by TSCPA ☐ 5
- AICPA Peer Review Program administered by a state society other than Texas ☐ 3
- Public Company Accounting Oversight Board (PCAOB) ☐ 7
- TSCPA Peer Review Program administered by TSCPA ☐ 10

Sponsor-assigned Review/Inspection Number: _____

Sponsor-assigned Firm Number: _____

Was corrective action required? ☐ Yes ☐ No

Deadline for corrective action was: _____

DUE DATE FOR NEXT REVIEW: _____

MANDATORY ATTACHMENTS: CHECK THE DOCUMENTS THAT ARE ATTACHED

PASS

- ☐ Peer Review Report (PRR)
- ☐ Final Letter of Acceptance (FLOA)

PASS WITH DEFICIENCIES

- ☐ Peer Review Report (PRR)
- ☐ Corrective Action Letter (CAL)
- ☐ Letter of Response (LOR)
- ☐ Final Letter of Acceptance (FLOA)

FAIL

- ☐ Peer Review Report (PRR)
- ☐ Corrective Action Letter (CAL)
- ☐ Letter of Response (LOR)
- ☐ Final Letter of Acceptance (FLOA)

Officer, resident manager, or sole proprietor must sign below to verify the accuracy of the information.

_____
Signature

Print Form